

## Capillary oxygen saturation and tissue oxygen pressure in the rat cortex at different stages of hypoxic hypoxia

[Neurological Research](#), Oct 2000 by [Meyer, Bernard](#), [Schultheib, Rolf](#), [Schramm, Johannes](#)

The objective of this study was to generate data that allow for estimation of the validity of oxygen saturation (S<sub>O2</sub>) values in superficial cortical capillaries as calculated by a microreflectometric system (EMPHO /19). Capillary S<sub>O2</sub> and tissue oxygen pressure (P<sub>tO2</sub>) were measured simultaneously in the cortex of n = 13 Wistar rats under normocapnic (PaCO<sub>2</sub> = 36 mmHg) arterial normoxia (PaO<sub>2</sub> = 92 mmHg), moderate (PaO<sub>2</sub> = 53 mmHg) and severe hypoxic hypoxia (PaO<sub>2</sub> = 31 mmHg) with microreflectometry and multiwire surface electrodes. Values were pooled according to arterial oxygenation levels, displayed as frequency histograms and compared via ANOVA (p < 0.05).  
Keywords: Capillary oxygen saturation; tissue oxygen pressure; rat cortex; hypoxia; reflection-spectrophotometry; multiwire-surface electrodes

### INTRODUCTION

Monitoring of tissue oxygen supply and metabolism has gained widespread interest in the neuroscience community, because methods which have been applied in animal experiments for a very long time were made amenable to clinical research by technological advancements within the last years. There are two basic principles for those methods in clinical application.

Methods based on polarographic principles' on one hand measure tissue oxygen partial pressure (P<sub>tO2</sub>) and are now mainly in use in the form of oxygen probes that are inserted in the brain tissue close to regions of interest. They are established monitoring devices for regional P<sub>tO2</sub> in intensive care patients and during operative interventions<sup>2-4</sup>. Recently developed sensors incorporate combinations of electrochemical and fiberoptic elements allowing for simultaneous recording of regional tissue P<sub>O<sub>2</sub></sub><sup>2</sup>, P<sub>CO<sub>2</sub></sub><sup>2</sup>, pH and temperature.<sup>5-7</sup> Other polarographic techniques such as multiwire surface electrodes<sup>8-13</sup>, have never reached such acceptance in clinical research due to their complex set-up, calibration procedure etc, which renders intro-operative use cumbersome and time-consuming<sup>14,15</sup>. Yet they are very useful for laboratory work, because they measure local organ surface P<sub>O<sub>2</sub></sub><sup>2</sup> with a very high spatial resolution. Representative P<sub>tO<sub>2</sub></sub><sup>2</sup>-distributions can be obtained reflecting adequately the heterogeneity of oxygenation in the superficial cortical layers of the brain.

Optical sensor techniques on the other hand have also been used for studies of tissue oxygen metabolism for a very long time providing information about oxygenation of hemoglobin or the redox state of intracellular enzymes'. Many methods have been developed using different algorithms and wavelengths (e.g. infrared vs. visible light), which also differ basically with respect to their catchment volume (e.g. macroscopic vs. microscopic reflectance spectroscopy)<sup>21-24</sup>. Spatially resolved near-infrared spectroscopy systems (NIRS) are well established methods nowadays, and are used for non-invasive transcranial monitoring of regional S<sub>O2</sub> (TCCO) in the clinical setting<sup>25-31</sup>. Microreflectometric systems work mainly on the domain of visible light. They are less frequently used clinically than in laboratory experiments<sup>32</sup>. However, they can provide clinically important information about local S<sub>O2</sub>-distributions in superficial cortical capillaries. We and others have used a high resolution microreflectometric system (EMPHO II, BGT, Uberlingen, Germany) for in vivo measurements of capillary S<sub>O2</sub> in the human cortex. It has been proven that the obtained S<sub>O2</sub> values reflect changes of nutritive capillary flow in the cortex of rats very accurately and with high sensitivity under constant conditions of arterial oxygen supply and consumption<sup>36</sup>. The major criticism of this technique lies in the fact that no exact validation procedure for brain tissue exists<sup>36,37</sup>, although in vitro experiments have shown an excellent correlation with tissue oxygenation levels<sup>38</sup>.

The aim of this study was to generate data, which allow for a better estimation of the validity of S<sub>O<sub>2</sub></sub><sup>2</sup> values obtained by this method in the brain cortex under various arterial oxygenation levels in vivo.

### MATERIALS AND METHODS

Measurements of capillary cortical oxygen saturation (S<sub>O<sub>2</sub></sub><sup>2</sup>)

Capillary S<sub>O<sub>2</sub></sub><sup>2</sup> values were measured with the Erlangen Microlightguide Spectrophotometer (EMPHO)(R) II, BGT, Germany), which was introduced and described in 1989<sup>39</sup>. It was designed for fast, diffuse remission spectrophotometry by flexible microlightguides in small tissue volumes of moving organs in situ. Light in the visible domain illuminates tissue via the illuminating fiber and backscattered light is transmitted via six detecting fibers (0.70 mm) arranged in a hexagonal pattern around the illuminating fiber, to a rotating band pass interference filter disc. This serves as a monochromating unit in the spectral range of 502-628 nm in 2 nm steps. Spectra of 64 wavelengths per rotation are thus transmitted to a photo multiplier, an AD-converter and finally to a computer, in which one S<sub>O<sub>2</sub></sub><sup>2</sup> value per spectrum is calculated by an algorithm described elsewhere<sup>40,41</sup>. The high temporal (100 spectra sec<sup>-1</sup>) and spatial (75\*250 μm) resolution permits an easy scanning procedure of superficial cortical capillaries by moving the light-guide above the brain surface.

Measurements of cortical tissue oxygen pressure (P<sub>tO2</sub>) Cortical P<sub>tO2</sub> was measured with polarographic multiwire surface electrodes described by Kessler and Lubbers 30 years ago<sup>42,43</sup> (MIT-system Dortmund, Germany) containing eight platinum wires allowing eight simultaneous P<sub>tO2</sub> measurements in superficial cortical brain tissue based on the Clark principle'. The electrode is counterbalanced on a lightweight arm, permitting it to follow brain pulsations without exerting pressure. It is further mounted on a micromanipulator to allow for scanning procedures by precise and free movement across the brain surface. The absolute P<sub>tO2</sub> values are recorded on a first-generation

PC system calculating frequency histograms and mean values. For a more detailed description of the complex calibration and measurement principles of this well known technique we refer to the existing literature.

#### Experimental protocol

Thirteen male Wistar rats weighing between 350 and 400 g were anesthetized by 0.015 mg kg<sup>-1</sup> Fentanyl and 0.8 mg kg<sup>-1</sup> Droperidole i.m., maintained by 25% of the initial dose every 30 min throughout the experiments. The animals were intubated via tracheostomy. Ventilation was controlled by using a small animal respirator to maintain normocapnia (PaCO<sub>2</sub>: 36 mmHg) during three different stages of arterial oxygenation obtained by changing the inspired O<sub>2</sub> fraction (21%-- 10%- 4%) until a steady state was reached. The right femoral artery was cannulated for continuous blood pressure monitoring and intermittent blood gas analysis. Rectal temperature was controlled and maintained by a heating pad and lamp at 38°C.

The animals were mounted on a stereotactic head frame and a parietal craniotomy was carried out under the microscope using a diamond drill. Thereafter the dura mater was stripped off and the brain surface was continuously rinsed with warm saline solution. After reaching a steady state for the corresponding PaO<sub>2</sub>, cortical capillary S<sub>O</sub><sub>2</sub> and PtO<sub>2</sub> was measured by scanning the exposed parietal cortex in each animal under (a) normoxia (PaO<sub>2</sub>: 92 mmHg), (b) moderate hypoxia (PaO<sub>2</sub>: 53 mmHg) and severe hypoxia (PaO<sub>2</sub>: 31 mmHg).

Data were transferred to a commercially available computer software for graphical and statistical analysis (ANOVA,

p

#### RESULTS

Physiological variables such as PaCO<sub>2</sub>, MABP and rectal temperature were comparable during different stages of arterial hypoxia respectively normoxia (Table 1). A total number of n=9617 S<sub>O</sub><sub>2</sub> respectively n=1118 PtO<sub>2</sub> values was obtained during normoxia (PaO<sub>2</sub>=92.3 ± 2.2 mmHg) in all animals, n=7512 S<sub>O</sub><sub>2</sub> respectively n=976 PtO<sub>2</sub> values during moderate hypoxia (PaO<sub>2</sub>=53.5 ± 1.2 mmHg) and n=6175 S<sub>O</sub><sub>2</sub> respectively n=788 PtO<sub>2</sub> values during severe hypoxia (PaO<sub>2</sub>=30.7 ± 1.6 mmHg). The calculated mean values SD for pooled data of capillary oxygen saturation and tissue oxygen pressure in the parietal cortex were 45.6% ± 14.6% SO<sub>2</sub> respectively 26.8 ± 8.2 mmHg PtO<sub>2</sub> during arterial normoxia, 32.6% ± 10.2% SO<sub>2</sub> respectively 20.2 ± 6.6 mmHg PtO<sub>2</sub> during moderate arterial hypoxia and 12.3% ± 11.1% SO<sub>2</sub> respectively 8.7 ± 5.0 mmHg during severe arterial hypoxia (Table 2). Comparison of S<sub>O</sub><sub>2</sub> and PtO<sub>2</sub> frequency histograms correspondingly showed a parallel shift to the left with decreasing arterial oxygenation (Figure 1). During severe hypoxic hypoxia more than 40% of PtO<sub>2</sub> values were below 10 mmHg and more than 80% of S<sub>O</sub><sub>2</sub> values below 25% SO<sub>2</sub>. Distributions of oxygen saturation and oxygen pressure values became more homogeneous from normoxia to moderate hypoxia indicating a reactive increase in regional cortical blood flow. In a Hill plot an 'in vivo tissue oxygen dissociation curve' was accomplished with SO<sub>2</sub> values ranging from 1 % to 65% SO<sub>2</sub> and PtO<sub>2</sub> values from 0.1 to 41 mmHg. Linear regression analysis showed an excellent correlation with a coefficient of determination of 12 of 0.88 (Figure 2). However, on closer examination of the plot it became obvious that the scatter of values around the line of regression is definitely increased below 10% SO<sub>2</sub> respectively 1.5 mmHg PtO<sub>2</sub>.

#### DISCUSSION

The microspectrophotometric system described in this article (EMPHO 10) will obviously never be applied as often as non-invasive NIRS-systems in clinical routine, because it requires surgical exposure of the cerebral cortex. From a scientific point of view, however, both methods should be regarded as complementary, because they differ basically in their catchment volumes. Opposed to NIRS-systems the EMPHO II is able to provide information on tissue oxygenation in superficial cortical areas alone with very high spatial resolution. Its excellent temporal resolution allows for easy and fast scanning procedures rendering it thus a feasible tool during neurosurgical intervention S33-35,37. Data acquisition is possible over any desired extent of the exposed cortex under direct visual control of normal anatomy and accompanying pathologies. The obtained S<sub>O</sub><sub>2</sub> distributions therefore accurately reflect variations in and/or natural heterogeneity of tissue oxygenation in relation to underlying cortical structures. With these properties the system can offer new and valuable insights into the cortical microcirculation in animal experiments as well as in clinical research

However, a potential source of error exists and has been acknowledged previously. The lack of an exact validation for brain tissue might violate assumptions in the algorithm, which would be of interest for assessment of truly absolute cortical S<sub>O</sub><sub>2</sub> values, although comparison of EMPHO III data with S<sub>O</sub><sub>2</sub> values of other systems for intravital microreflectometry under comparable conditions in the rat cortex 32 suggests that this error is probably minor. Therefore experiments have been performed to compare these S<sub>O</sub><sub>2</sub> data with methods regarded as the gold standard for tissue oxygenation, that is multiwire surface electrodes, which measure tissue oxygen partial pressure (pO<sub>2</sub>) with approximately the same spatial resolution as microreflectometric systems (e.g. EMPHO 110)38. In this experimental setup jejunal mucosal oxygenation was measured with both methods simultaneously, and it was demonstrated that S<sub>O</sub><sub>2</sub> and PtO<sub>2</sub> values varied within the expected range, following in parallel major oscillations induced by vasomotion. To test the validity of the EMPHO II in an additional in vitro experiment S<sub>O</sub><sub>2</sub> and PtO<sub>2</sub> values were obtained in a tissue homogenate under varying levels of arterial oxygenation. This produced a 'tissue oxygen dissociation curve', which showed an excellent correlation (r=0.95) of both values. However, values ranged between 20% and 80% SO<sub>2</sub> only, omitting the critical range below 20%. We thus reproduced the experiments in the rat cortex in vivo within a lower range of arterial oxygenation levels to create a more realistic environment for comparison of both methods under pathophysiological conditions. Our results regarding the PtO<sub>2</sub> distributions in the rat cortex under arterial normoxia and different stages of hypoxia are in full accordance with those of prior animal

experiments using the same algorithm and methodology<sup>9-12,44</sup>. This holds true for mean values, shift of distributions and reaction to hypoxic-induced increase of local CBF<sup>45,4s,as</sup>. The data derived from the multiwire surface electrode measurements can therefore be regarded as reliable reference values under these experimental conditions.

The stepwise decrease of arterial oxygenation produced an average desaturation from 46% to 33% to 12% S<sub>O2</sub> within the cortical capillaries, which paralleled a decrease of oxygen partial pressure in the same cortical areas from 27 to 20 to 9 mmHg P<sub>tO2</sub>. Thus a 30% drop of oxygen saturation in the vascular supply unit caused a 26% decrease of oxygen tension within the depending cortex, respectively a further reduction of S<sub>O2</sub> of 60% a subsequent decrease of P<sub>tO2</sub> of 55%. The values calculated by the algorithm of the EMPHO II lie within the expected range of S<sub>O2</sub> values, when compared with those for calculated O<sub>2</sub> dissociation curves in brain capillaries under the given arterial O<sub>2</sub> partial pressures". Furthermore they correspond exactly to those described by Watanabe et al.<sup>32</sup> in the brain of hypoxic Wistar rats measured by an analogous microspectrophotometric system. However, the seemingly linear relationship between S<sub>O2</sub> and P<sub>tO2</sub> values in our experiment does not reflect the complicated interdependencies in reality and is most probably caused by the necessary averaging procedure for numerous values in multiple capillaries and cortical areas supplied by them. In reality the relation between O<sub>2</sub> saturation and O<sub>2</sub> partial pressure in brain capillaries is known to be sigmoid and the one between intracapillary O<sub>2</sub> partial pressure and cortical P<sub>tO2</sub> exponential in models regarding one isolated capillary segment<sup>47-49</sup>. To approximate these complicated relations in a descriptive linear manner usually a Hill-plot is used as done by Hasibeder et al.<sup>38</sup> in their in vitro experiment. We have adopted this approach and have thus produced an 'in vivo tissue oxygen dissociation curve', which indeed demonstrated a significant linear dependency with an excellent correlation. The coefficient of determination r<sup>2</sup> was calculated as 0.88, which indicates that P<sub>tO2</sub> values per se contain approximately 90% of all information needed to predict intracapillary S<sub>O</sub>, and vice versa.

Yet, apart from pure statistical calculations, it is obvious that the scatter of values around the line of regression is not homogeneous (Figure 2). A definitive increase can be observed at its lower end, that is at values below 10% S<sub>O<sub>2</sub></sub>, respectively 1.5 mmHg P<sub>tO2</sub>. The reason for this divergence at very low levels of oxygenation cannot be pinpointed exactly. The easiest explanation would be that the algorithm for calculation of S<sub>O2</sub> data is not valid at very low oxygen levels despite the fact that the EMPHO II works on the basis of multiple wavelengths. This would be true under the assumption that P<sub>tO2</sub> values by multiwire surface electrodes are the gold standard for reference. It is, however, a known fact that multiwire surface electrodes are neither sensitive nor stable enough to measure very low P<sub>tO2</sub> values with absolute precision,<sup>50</sup>. Exact quantification of values in this range remains somewhat arbitrary since even the best of electrodes will show drift artefacts. Furthermore it is not clear whether the logarithmic transformation of the Hill plot is still valid to approximate the relation between S<sub>O2</sub> and P<sub>tO2</sub> in a severely hypoxic situation, because intracellular and intravascular changes in pH, PaCO<sub>2</sub> and lactate levels etc. will influence O<sub>2</sub> capacity, O<sub>2</sub> affinity, O<sub>2</sub> diffusion and local CBF in an uncontrolled way", z,sz.

We could, therefore, show that S<sub>O2</sub> values in superficial cortical capillaries as calculated by the algorithm of the EMPHO III are highly accurate over a wide range of oxygenation levels. Only with respect to extremely low values does it remain questionable whether they reflect truly absolutes of capillary O<sub>2</sub> saturation and one should therefore be cautious in interpretation of single values in the range below 10% S<sub>O2</sub>. This shortcoming, however, is not unique to the method described here, but probably inherent to every method applied for data sampling in cerebrovascular microvolumes, including laser Doppler flowmetry. In microreflectometric measurements single values in general, irrespective of O<sub>2</sub> saturation level, should never be regarded as representative. We and others have shown previously<sup>36,37</sup>, that to reach reliable and reproducible results scanning procedures are mandatory with these methods. Thus we consider only accumulations of low S<sub>O2</sub> values, that significantly exceed those found due to natural heterogeneity of local CBF unequivocal indicators for cortical hypoxia or ischemia.

We conclude that the EMPHO II is a highly reliable and feasible instrument for experimental and clinical cerebrovascular research, if information about cortical capillary O<sub>2</sub> saturation with high spatial and temporal resolution is desired.

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- Bernhard Meyer, Rolf Schultheis and Johannes Schramm  
Department of Neurosurgery, University of Bonn, Germany  
Correspondence and reprint requests to: Bernhard Meyer, MD, Department of Neurosurgery, University of Bonn, Sigmund Freud Str. 25, 53127 Bonn, Germany. Accepted for publication June 2000.  
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